

## Lecture 4: Steerable Group Convolutions

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## 4.1 Feature Types (Representations)

In steerable CNNs, each feature vector at a spatial location lives in a *fiber space*  $V$  and comes with a **type**, specified by a group representation

$$\rho : G \rightarrow GL(V). \quad (4.1)$$

Intuitively, the type tells us how the feature channels should transform when we apply a symmetry  $g \in G$ .

**Field interpretation** You can interpret a feature map as a *field* over space:

- If  $V = \mathbb{R}$  (scalar type), then  $f(\mathbf{x}) \in \mathbb{R}$  and the feature map is a **scalar field**.
- If  $V = \mathbb{R}^d$  (vector type), then  $f(\mathbf{x}) \in \mathbb{R}^d$  and the feature map is a **vector field**.

More generally,  $\dim(V)$  equals the number of channels per field element (per “type instance”), and a layer typically contains multiple copies of various types.

### 4.1.1 Concrete Examples

- **Scalar type (invariant):**  $V = \mathbb{R}$  and  $\rho(g) = 1$ . A scalar (*e.g.*, intensity) does not rotate; only its spatial position changes.
- **Vector type:**  $V = \mathbb{R}^d$  and  $\rho(g) = R(g)$ , the standard rotation matrix. A 2D/3D vector (*e.g.*, gradient or velocity) rotates with the coordinate frame.
- **$SO(3)$  irreps (spherical-harmonic types):** for  $l = 0, 1, 2, \dots$ , take  $V = \mathbb{C}^{2l+1}$  and

$$\rho(g) = D^l(g) \in \mathbb{C}^{(2l+1) \times (2l+1)}. \quad (4.2)$$

A feature of type- $l$  has  $2l + 1$  channels that mix under rotation according to  $D^l(g)$ .

### 4.1.2 Irreducible Representations (Irreps)

A representation  $\rho : G \rightarrow GL(V)$  is called *reducible* if there exists a nontrivial subspace  $W \subset V$  such that  $\rho(g)W \subseteq W$  for all  $g \in G$ . If no such proper invariant subspace exists, the representation is *irreducible* (an **irrep**).

**Why irreps matter for steerable networks** Irreps are the “atomic” building blocks of representations: for many groups of interest (*e.g.*, finite groups and compact Lie groups such as  $SO(3)$ ), any finite-dimensional representation can be decomposed into a direct sum of irreps,

$$V \cong \bigoplus_i m_i V_i, \quad (4.3)$$

where each  $V_i$  carries an irrep and  $m_i \in \mathbb{N}$  is its multiplicity. In steerable CNNs, choosing feature types as (copies of) irreps makes the transformation law clean and structured: under a symmetry, channels only *mix within each irrep block*.

**SO(3) example (angular momentum)** For  $SO(3)$ , the irreps are indexed by a degree  $l \in \{0, 1, 2, \dots\}$ . The corresponding (complex) irrep  $D^l$  has dimension  $2l + 1$  and acts on the coefficient vector of spherical harmonics of degree  $l$ . This is why a type- $l$  field naturally has  $2l + 1$  channels.

**Induced action on a field (recall)** If  $f : \mathbb{R}^d \rightarrow V$  is a feature field, we write  $\pi(g)$  for the **induced action** (also called the *induced representation*) of the group element  $g$  on the space of fields. That is,  $\pi(g)$  is an operator that takes a field  $f$  and returns the transformed field  $\pi(g)f$ . With the type/representation  $\rho$  on the fiber, this action is

$$[\pi(g)f](\mathbf{x}) = \rho(g) f(g^{-1}\mathbf{x}). \quad (4.4)$$

Steerable convolutions are designed so that their output transforms according to this rule.

## 4.2 Steerable Group Convolutions

A steerable convolution maps an input field  $f_{\text{in}}$  (of type/representation  $\rho_{\text{in}}$ ) to an output field  $f_{\text{out}}$  (of type  $\rho_{\text{out}}$ ). For simplicity, we write the spatial convolution on  $\mathbb{R}^2$  as

$$f_{\text{out}}(\mathbf{x}) = \int_{\mathbb{R}^2} K(\mathbf{x} - \mathbf{y}) f_{\text{in}}(\mathbf{y}) d\mathbf{y}. \quad (4.5)$$

Here the kernel is matrix-valued:  $K : \mathbb{R}^2 \rightarrow \text{Hom}(V_{\text{in}}, V_{\text{out}})$ .

### 4.2.1 Kernel Constraint (Equivariance)

We now derive the kernel constraint from the equivariance requirement, using the standard **group convolution** form (with  $h^{-1}$  acting on the argument).

**Step 1: Group convolution form** Let a field  $f : X \rightarrow V_{\text{in}}$  live on a space  $X$  on which  $G$  acts (e.g.,  $X = \mathbb{R}^d$  with rotations). A common way to write a  $G$ -equivariant correlation/convolution is

$$[K * f](\mathbf{x}) := \int_G K(h^{-1} \cdot \mathbf{x}) f(h) dh, \quad (4.6)$$

where  $dh$  is the Haar measure on  $G$ . (Here, the key structural point is the appearance of  $h^{-1}$  inside  $K(\cdot)$ , exactly as in the usual group convolution  $k(h^{-1}g)$  on  $G$ .)

**Step 2: State equivariance** Let  $\pi_{\text{in}}$  and  $\pi_{\text{out}}$  be the induced actions on input and output fields:  $[\pi(g)f](\mathbf{x}) = \rho(g)f(g^{-1}\mathbf{x})$ . Equivariance requires

$$\pi_{\text{out}}(g)(K * f_{\text{in}}) = K * (\pi_{\text{in}}(g)f_{\text{in}}) \quad \forall g \in G. \quad (4.7)$$

**Step 3: Expand both sides and match terms** Expanding the left-hand side gives

$$\begin{aligned} [\pi_{\text{out}}(g)(K * f_{\text{in}})](\mathbf{x}) &= \rho_{\text{out}}(g)(K * f_{\text{in}})(g^{-1} \cdot \mathbf{x}) \\ &= \rho_{\text{out}}(g) \int_G K(h^{-1} \cdot (g^{-1} \cdot \mathbf{x})) f_{\text{in}}(h) dh. \end{aligned} \quad (4.8)$$

For the right-hand side,

$$[K * (\pi_{\text{in}}(g)f_{\text{in}})](\mathbf{x}) = \int_G K(h^{-1} \cdot \mathbf{x}) \rho_{\text{in}}(g) f_{\text{in}}(g^{-1}h) dh. \quad (4.9)$$

Make the change of variables  $h = gh'$  (so  $g^{-1}h = h'$  and  $dh = dh'$  by left-invariance of Haar measure), yielding

$$[K * (\pi_{\text{in}}(g)f_{\text{in}})](\mathbf{x}) = \int_G K((gh')^{-1} \cdot \mathbf{x}) \rho_{\text{in}}(g) f_{\text{in}}(h') dh'. \quad (4.10)$$

Since  $(gh')^{-1} = h'^{-1}g^{-1}$ , both sides depend on  $f_{\text{in}}(h')$ . Requiring Eq. (4.7) to hold for all inputs implies the kernel must satisfy

$$K(g \cdot \mathbf{x}) = \rho_{\text{out}}(g) K(\mathbf{x}) \rho_{\text{in}}(g)^{-1}. \quad (4.11)$$

### 4.2.2 Practical Parameterization via a Steerable Basis

Instead of learning  $K$  freely pixel-by-pixel, we solve the equivariance constraint  $K(g \cdot \mathbf{x}) = \rho_{\text{out}}(g)K(\mathbf{x})\rho_{\text{in}}(g)^{-1}$  to obtain its solution space. We choose a basis of this solution space,  $\{\Psi_1, \dots, \Psi_B\}$ , so that any kernel written as a linear combination of  $\Psi_b$  is *automatically equivariant*. We then parameterize

$$K(\mathbf{x}) = \sum_{b=1}^B w_b \Psi_b(\mathbf{x}), \quad (4.12)$$

and learn only the coefficients  $\{w_b\}$ .

## 4.3 Example: $SO(3)$ and Spherical Harmonics

This section ties together the key ingredients above (types, induced actions, equivariance constraints, and steerable bases) in the concrete case of 3D rotations.

### 4.3.1 The Group Action

The group  $SO(3)$  is the set of all 3D rotations. It acts on points on the unit sphere  $S^2$  by rotating their 3D coordinates. We write this action as  $\mathbf{x} \mapsto g \cdot \mathbf{x}$ .

### 4.3.2 Signals and Feature Types on the Sphere

A scalar signal on the sphere is a function  $f : S^2 \rightarrow \mathbb{R}$ . More generally, a feature field on the sphere is a function  $f : S^2 \rightarrow V$  where  $V$  is the fiber space. Choosing a type  $\rho : SO(3) \rightarrow GL(V)$  specifies how  $f$  should transform under rotations via the induced action

$$[\pi(g)f](\mathbf{x}) = \rho(g)f(g^{-1} \cdot \mathbf{x}). \quad (4.13)$$

### 4.3.3 Spherical Harmonics as a Canonical Basis

Spherical harmonics  $\{Y_l^m\}$  form an orthonormal basis for scalar functions on  $S^2$ . They are indexed by

$$l \in \{0, 1, 2, \dots\}, \quad m \in \{-l, \dots, l\}. \quad (4.14)$$

**Rotation acts blockwise** A central fact is that under rotation, spherical harmonics of different degree  $l$  do not mix. For any  $g \in SO(3)$ ,

$$Y_l^m(g^{-1} \cdot \mathbf{x}) = \sum_{m'=-l}^l D_{m,m'}^l(g) Y_l^{m'}(\mathbf{x}), \quad (4.15)$$

where  $D^l(g) \in \mathbb{C}^{(2l+1) \times (2l+1)}$  is the  $l$ -th irreducible representation (Wigner- $D$  matrix).

**A concrete type choice** This immediately gives a family of natural feature types for  $SO(3)$ : for each  $l$ , choose  $V = \mathbb{C}^{2l+1}$  and define

$$\rho(g) = D^l(g). \quad (4.16)$$

A feature of type- $l$  thus has  $2l + 1$  channels that mix under rotation according to  $D^l(g)$ .

### 4.3.4 From the Equivariance Constraint to a Steerable Basis (The $SO(3)$ Case)

To make the “steerable basis” completely concrete, we now specialize to the common setting where both the input and output types are  $SO(3)$  irreps.

**Setup: irrep-to-irrep kernels** Let the input be a type- $l_{\text{in}}$  field and the output be a type- $l_{\text{out}}$  field, so

$$\rho_{\text{in}}(g) = D^{l_{\text{in}}}(g), \quad \rho_{\text{out}}(g) = D^{l_{\text{out}}}(g). \quad (4.17)$$

Then the kernel is a matrix-valued function

$$K : S^2 \rightarrow \text{Hom}(\mathbb{C}^{2l_{\text{in}}+1}, \mathbb{C}^{2l_{\text{out}}+1}) \cong \mathbb{C}^{(2l_{\text{out}}+1) \times (2l_{\text{in}}+1)} \quad (4.18)$$

that must satisfy the equivariance constraint

$$K(g \cdot \mathbf{x}) = D^{l_{\text{out}}}(g) K(\mathbf{x}) D^{l_{\text{in}}}(g)^{-1}. \quad (4.19)$$

**Key representation-theoretic fact: tensor-product decomposition** Eq. (4.19) says that (as a function of  $\mathbf{x}$ ) the kernel must transform in the same way as the representation  $D^{l_{\text{out}}} \otimes (D^{l_{\text{in}}})^*$ . For  $SO(3)$ , tensor products decompose into irreps:

$$D^{l_{\text{out}}} \otimes (D^{l_{\text{in}}})^* \cong \bigoplus_{J=|l_{\text{out}}-l_{\text{in}}|}^{l_{\text{out}}+l_{\text{in}}} D^J. \quad (4.20)$$

This tells us that the angular dependence of an equivariant kernel can be expanded in spherical harmonics of degrees  $J$  in that range.

**Concrete basis functions via Clebsch–Gordan coupling** *Clebsch–Gordan (CG) coefficients* are the change-of-basis coefficients that decompose a tensor product of two irreducible representations into a direct sum of irreducibles. Concretely, given two  $SO(3)$  irreps of degrees  $l_1$  and  $l_2$ , the tensor product space has a natural “product” basis  $\{|l_1 m_1\rangle \otimes |l_2 m_2\rangle\}$ . CG coefficients  $C_{l_1 m_1, l_2 m_2}^{JM}$  define the corresponding “coupled” basis vectors  $|JM\rangle$  via

$$|JM\rangle = \sum_{m_1=-l_1}^{l_1} \sum_{m_2=-l_2}^{l_2} C_{l_1 m_1, l_2 m_2}^{JM} |l_1 m_1\rangle \otimes |l_2 m_2\rangle. \quad (4.21)$$

They satisfy the selection rule  $M = m_1 + m_2$ , and  $J$  ranges over  $|l_1 - l_2|, \dots, l_1 + l_2$ . In our setting, the CG coefficients serve as the unique (up to convention) intertwining weights that “couple” the spherical-harmonic angular dependence ( $Y_J^m$ ) with the channel indices  $(m_{\text{in}}, m_{\text{out}})$  so that the resulting matrix-valued basis functions  $\Psi^J(\mathbf{x})$  transform according to Eq. (4.19).

Let  $C_{l_{\text{in}} m_{\text{in}}, l_{\text{out}} m_{\text{out}}}^{JM}$  denote Clebsch–Gordan (CG) coefficients. A standard equivariant basis on the sphere is:

$$[\Psi^J(\mathbf{x})]_{m_{\text{out}}, m_{\text{in}}} \triangleq \sum_{m=-J}^J C_{l_{\text{in}} m_{\text{in}}, l_{\text{out}} m_{\text{out}}}^{Jm} Y_J^m(\mathbf{x}), \quad (4.22)$$

for each  $J \in \{|l_{\text{out}} - l_{\text{in}}|, \dots, l_{\text{out}} + l_{\text{in}}\}$ . One can verify (using the transformation rules of  $Y_J^m$  and the defining property of CG coefficients) that these satisfy Eq. (4.19).

**Steerable parameterization (explicit for  $SO(3)$ )** With the basis (4.22), any equivariant kernel of this type can be parameterized as

$$K(\mathbf{x}) = \sum_{J=|l_{\text{out}}-l_{\text{in}}|}^{l_{\text{out}}+l_{\text{in}}} w_J \Psi^J(\mathbf{x}), \quad (4.23)$$

where  $w_J$  are learnable (complex) coefficients (or real coefficients with an appropriate real basis). In practice, one often also includes a learnable radial profile if the kernel lives on  $\mathbb{R}^3$  rather than  $S^2$ .

### 4.3.5 (Optional) Where $Y_l^m$ Comes From

Spherical harmonics can be derived as eigenfunctions of the Laplacian on the sphere by solving the eigenvalue problem

$$\Delta_{S^2} Y(\theta, \phi) = -\lambda Y(\theta, \phi), \quad (4.24)$$

where  $(\theta, \phi)$  are the standard spherical angles (colatitude and longitude).

**Step 1: Write the spherical Laplacian** On the unit sphere, the spherical Laplacian is

$$\Delta_{S^2} Y = \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2}. \quad (4.25)$$

**Step 2: Separation of variables** Assume a separable form

$$Y(\theta, \phi) = \Theta(\theta) \Phi(\phi). \quad (4.26)$$

Plugging into  $\Delta_{S^2} Y = -\lambda Y$  and dividing by  $\Theta \Phi$  gives

$$\frac{1}{\Theta \sin \theta} \frac{d}{d\theta} \left( \sin \theta \frac{d\Theta}{d\theta} \right) + \frac{1}{\Phi \sin^2 \theta} \frac{d^2 \Phi}{d\phi^2} = -\lambda. \quad (4.27)$$

Multiply both sides by  $\sin^2 \theta$  to separate the  $\theta$  and  $\phi$  dependence:

$$\sin \theta \frac{1}{\Theta} \frac{d}{d\theta} \left( \sin \theta \frac{d\Theta}{d\theta} \right) + \frac{1}{\Phi} \frac{d^2 \Phi}{d\phi^2} = -\lambda \sin^2 \theta. \quad (4.28)$$

The left-hand side is a sum of a function of  $\theta$  and a function of  $\phi$ , so each term must equal a constant. Let

$$\frac{1}{\Phi} \frac{d^2 \Phi}{d\phi^2} = -m^2. \quad (4.29)$$

**Step 3: Solve the azimuthal equation** The  $\phi$ -equation becomes

$$\frac{d^2 \Phi}{d\phi^2} + m^2 \Phi = 0, \quad (4.30)$$

with solutions  $\Phi(\phi) = e^{im\phi}$ . Single-valuedness under  $\phi \mapsto \phi + 2\pi$  implies  $m \in \mathbb{Z}$ .

**Step 4: Obtain the polar (associated Legendre) equation** With the separation constant  $m^2$ , the  $\theta$ -equation becomes

$$\frac{1}{\sin \theta} \frac{d}{d\theta} \left( \sin \theta \frac{d\Theta}{d\theta} \right) - \frac{m^2}{\sin^2 \theta} \Theta = -\lambda \Theta. \quad (4.31)$$

Let  $x = \cos \theta$  and define  $u(x) = \Theta(\theta(x))$ . Using  $\frac{d}{d\theta} = -\sin \theta \frac{d}{dx}$ , one obtains the associated Legendre differential equation

$$(1 - x^2) u''(x) - 2x u'(x) + \left( \lambda - \frac{m^2}{1 - x^2} \right) u(x) = 0. \quad (4.32)$$

Regularity of solutions at the poles  $x = \pm 1$  forces the eigenvalues to take the discrete form

$$\lambda = l(l+1), \quad l \in \{0, 1, 2, \dots\}, \quad |m| \leq l. \quad (4.33)$$

The corresponding regular solutions are (up to normalization) the associated Legendre functions  $P_l^m(x)$ .

**Step 5: Assemble  $Y_l^m$  and normalize** Putting the pieces together yields

$$Y_l^m(\theta, \phi) = N_{lm} P_l^m(\cos \theta) e^{im\phi}, \quad (4.34)$$

where  $N_{lm}$  is chosen so that  $\{Y_l^m\}$  are orthonormal on  $S^2$ :

$$\int_0^{2\pi} \int_0^\pi Y_l^m(\theta, \phi) \overline{Y_{l'}^{m'}(\theta, \phi)} \sin \theta d\theta d\phi = \delta_{ll'} \delta_{mm'}. \quad (4.35)$$

A common convention is

$$N_{lm} = \sqrt{\frac{2l+1}{4\pi} \frac{(l-m)!}{(l+m)!}}. \quad (4.36)$$